

Oklahoma
Department of Corrections

Fiscal Year 2016
Budget Request

Approved by the
Board of Corrections
October 23, 2014

Summary

Oklahoma Department of Corrections FY 2016 Appropriation Request

Priority	Item	See Page	Funding Existing Authorized FTE	Personnel Costs	Contract Services	Operating Costs	Total
A	Market Adjustment for all Employees – Funding for all Authorized C.O. Positions	2	857.0	\$14,563,248			\$14,563,248
B	Offender Contract Growth	3			\$22,941,978	\$3,226,036	26,168,014
C	Resources for Offender Growth	4				3,598,000	3,598,000
D	Non-Discretionary Increases – Health Services	5				5,678,560	5,678,560
E	Non-Discretionary Increases – Offender Programs and Education	6			1,039,580	633,350	1,672,930
F	Non-Discretionary Increases – Community Sentencing	9				1,015,000	1,015,000
G	Infrastructure and Equipment Improvements	10				8,355,700	8,355,700
H	Security Needs	11				4,934,200	4,934,200
I	Vehicle Needs	12				1,350,000	1,350,000
J	Technology Upgrades and Infrastructure	13				17,161,998	17,161,998
Total Requested Appropriation Increase			857.0	\$14,563,248	\$23,981,558	\$45,952,844	\$84,497,650
FY 2015 Appropriation							\$470,900,943
TOTAL REQUESTED APPROPRIATION FOR FY 2016							\$555,398,593

FY 2016 Budget Request Priority A

A. Item Description

Salary Increase for Classified and Unclassified Employees
Funding for All Authorized Correctional Officer Positions

Budget Request Details for DOC Salary Adjustments

	Salary	Benefits	Total
Recommendation 1: Recruitment and Retention of Correctional Officers 7% salary increase for all levels of Correctional Officer (Cadet through Chief of Security); also increase hiring rates for C.O. job series by 7%.	\$3,669,379.96	\$1,038,434.53	\$4,707,814.48
Recommendation 2: Recruitment and Retention of All Other Classified Employees 5% salary increase for all other Classified employees (non-Correctional Officer); simultaneously increase Classified hiring rates by 5%.	\$3,570,215.42	\$1,010,370.96	\$4,580,586.38
Recommendation 3: Recruitment and Retention of Unclassified Employees 5% salary increase for all Unclassified employees; increase pay grade ranges by 5% to avoid compression from Classified to Unclassified.	\$1,267,974.29	\$358,836.72	\$1,626,811.02

Total Request	\$8,507,569.66	\$2,407,642.21	\$10,915,211.88
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Fully fund all authorized Correctional Officer positions FTE **857.0** Unfunded **\$3,648,036.61**

Total - Combined Request **\$14,563,248**

C. Justification

These funds are needed to retain and recruit staff who are essential in ensuring public safety is not compromised.

FY 2016 Budget Request Priority B

A. Item Description

Offender Contract Growth

B. Cost

Contracted Beds - Growth

Jail Backup – Male and Female Additional funding needed over FY 2015 budget	\$3,226,036
Private Prison Beds Additional funding for 1,000 beds added in FY 2016 (\$53/day x 1,000 beds x 366 days)	19,398,000
Contracted County Jail Beds – Male (\$32.50/day x 140 contracted unfunded beds x 366 days)	1,665,300
Halfway House Beds – Female Increase contracted halfway house beds (34.22/day x 150 beds x 366 days)	<u>1,878,678</u>

Total – Offender Contract Growth **\$26,168,014**

C. Justification

The Agency was appropriated \$503,000,000 in FY 2009 and a state-wide revenue failure reduced our appropriated funding to \$476,225,000 in FY 2010. At year end FY 2010, the number of male offenders incarcerated was 22,832 and the number of female offenders incarcerated was 2,602. At year end FY 2014, 24,783 male offenders were incarcerated and 2,779 female offenders were incarcerated. Net growth of offenders from FY 2010 to FY 2014 was 1,951 males and 177 females (total = 2,128). For FY 2015, the appropriation was \$470,900,943. At the present rates of receptions and releases, it is anticipated that the prison population will grow by an additional 960 males and 180 females in FY 2015 (total = 1,140). Growth has not been funded since prior to FY 2010.

Note: The above population numbers include institutions, private prisons, contracted county jail beds, community correction centers, community work centers and contracted halfway house beds. The population numbers do not include those on the Electronic Monitoring Program (EMP), Global Position Satellite Surveillance Program (GPS) or Pre-parole Conditional Supervision (PPCS).

FY 2016 Budget Request Priority C

A. Item Description

Increased Operating Resources for Offender Growth
Operating Cost Required for FY 2016 not Budgeted in FY 2015

B. Cost

Operating cost required for FY 2016 not budgeted in FY 2015:

Agency-Wide

Food	\$1,750,000
Fuel	175,000
Offender Clothing	1,105,000
Correctional Officer/Probation Officer and support staff uniforms, safety and security supplies and accessories	<u>568,000</u>

Total – Resources for Offender Growth

\$3,598,000

C. Justification

Resources are needed to maintain operational effectiveness.

FY 2016 Budget Request Priority D

A. Item Description

Non-Discretionary Increase – Health Services

B. Cost

Health Services

The Oklahoma Department of Corrections Performance Audit for the period July 1, 2007 through June 30, 2013 by the Oklahoma State Auditor & Inspectors office reported rising costs in healthcare across the nation. The health spending across the nation is projected to grow at an average annual rate of 5.8% from 2012 to 2022.

The reasons for the rising healthcare expenditures are based on a recent national study from the Pew Charitable Trust which found that the primary reason for the increase in healthcare costs is bigger and older prison populations. There has been a steady increase in DOC's offender population over the age of 40. Additional rising healthcare costs to consider include mental illness and substance abuse among offenders.

*Calculated as follows:

Actual FY 2014 Expenditures	\$39,000,000	
5.8% Increase per Pew Charitable Trust	<u>2,262,000</u>	
Projected FY 2015 Expenditures	41,262,000	
5.8% Increase per Pew Charitable Trust	<u>2,393,193</u>	
Projected FY 2016 Expenditures	43,655,196	
Actual FY 2015 Budget	<u>(38,051,951)</u>	
FY 2016 Additional Funding		\$5,603,245

It is important to note that the FY 2015 budget of \$38,051,951 is the budget amount and not actual expenditures. The FY 2014 Health Service actual expenditure was in excess of \$39 million.

Medical Equipment

58,710

Dental Chair - Chair has broken and seat cushion and the foot control doesn't work.

Trauma Stretcher – The current stretcher's rails won't lock and the tilt pedals do not work.

Vital sign monitors (3). Monitors are needed for each staffed medical unit. The current monitors are not functioning properly.

Trauma stretchers (2). The stretchers are needed for both main Medical and J-unit.

Topcon RM800 Auto Refractometer which is needed to practice optometry.

A1c and PT/INR Machine. Large volume of offenders prescribed anticoagulants. Medication changes can be made right away.

Transport Stretcher (ER Gurney). Needed in ER ASAP

Auto BP and Pulse Ox Machines (5ea). All current ones no longer function
IV Infusion Pump. Needed in infirmary

Emergency bags are needed to respond to emergency situations on the compound as per OP-140118. These bags will be utilized to carry the necessary equipment to respond to an emergency.

Scales w/ wheelchair ramp will be utilized to accommodate offenders who are wheel chair bound and find it difficult to stand.

LED Tubo Curing Light. The current one is not working.

Ultrasonic bath is used for hygiene.

Potaznick foreign body kits for use in optometry clinic.

Wall-Mounted Otoscope/Ophthalmoscope is only partially functional at this time.

Ophthalmoscope head standard is only partially functional at this time.

3.5 V Coaxial Ophthalmoscope. Needed to check retinas on diabetic offenders

Dental Chair with light. Chair will not rise up and down half the time and they need a chair mounted light.

Mental Health

16,605

Safety Smocks and Blankets

To provide funds for 41 safety smocks and 41 safety blankets. Five each at Oklahoma State Penitentiary, Joseph Harp CC, and Mabel Bassett CC, and two each at Bill Johnson CC, Dick Conner CC, Eddie Warrior CC, Howard McLeod CC, James Crabtree CC, Jess Dunn CC, Jim Hamilton CC, John Lilly CC, Lexington A & R Center, Mack Alford CC, Northeast Oklahoma CC, Oklahoma State Reformatory, and William Key CC. To manage the increase in crises, additional safety smocks and blankets are needed to reduce self-harm risk for offenders placed on suicide watch or therapeutic seclusion.

Total – Non-Discretionary Increases – Health Services

\$5,678,560

C. Justification

These funds are necessary to maintain a sustained level of performance and constitutionally compliant care.

FY 2016 Budget Request Priority E

A. Item Description

Non-Discretionary Increases – Offender Programs and Education

B. Cost

Programs

LSI-R Assessments

\$839,580

The Level of Service Inventory-Revised (LSI-R) assessment was eliminated from the Lexington A&R Center (LARC) and Mabel Bassett Correctional Center (MBCC) process due to the shortened reception period (case managers were conducting the LSI-R). The LSI-R is a much more comprehensive assessment of each offender's risk, need and responsibility issues than the J-SAT Proxy, which replaced the LSI-R at both assessment centers. Risk/needs assessments are necessary to develop case plans to appropriately place offenders in programs. The cost to contract out the LSI-R assessment is based on current state rates is \$75 per assessment, per offender. Using professional service contracts, it may be possible to reduce the cost per assessment to \$40 - \$50 per assessment. The cost projection is figured using the established state rate of \$75.

LARC (estimated 9,564 new male arrivals in FY 2016)	\$717,330
MBCC (estimated 1,630 new female arrivals in FY 2016)	\$122,250

Helping Women Recover / Mabel Bassett Correctional Center

96,400

These funds would allow DOC to reinstate the Helping Women Recover Substance Abuse Treatment Program at MBCC. Currently, no substance abuse treatment exists for females discharging from medium security. Funding would provide two continuous groups of ten offenders per group on a four month rotation. The reinstatement of this program would provide treatment to approximately 60 female offenders annually. Cost estimate includes contract providers, training, curriculum and supplies for the program.

Substance Abuse and Mental Health Services for Probation and Parole

500,000

Currently, DOC provides no funding for substance abuse and mental health treatment for offenders on probation and parole supervision. Funding would provide services to address unmet case plan needs for addiction and co-occurring disorders. Establishment of this funding could potentially decrease technical revocations.

Career-Tech

200,000

Expansion/development of two career-tech programs. Location and type of technical training will be determined based on current workforce needs and facility location. Cost estimate is provided by career-tech skills center staff and include instructor salary and benefits, curriculum and any equipment needs.

Education

Maintenance and Repair

16,950

Additional funding is needed to repair or replace aging test scanner machines (Scantrons). These machines are located at 17 education units and are used to score TABE assessments. A larger volume of testing is conducted at each facility now due to the de-centralizing of TABE assessments from the assessment and reception centers. A total of three machines are needed at the following facilities: Oklahoma State Reformatory, the base school; Mack Alford Correctional Center (MACC); Jess Dunn Correctional Center (JDCC). Current cost per machine is estimated at \$5,650.

Restoration of general operating expense budget in education to assist with the purchase of TABE testing supplies and the books and magazines for the leisure libraries, state wide. This area was severely cut during the recession and has not been restored.

20,000

Total – Non-Discretionary Increases – Offender Programs and Education

\$1,672,930

C. Justification

These funds are necessary to maintain a sustained level of performance.

FY 2016 Budget Request Priority F

A. Item Description

Non-Discretionary Increases – Community Sentencing

B. Cost

Community Sentencing

Professional Services

\$1,000,000

To allow expansion for additional councils and offender population growth, and an increase in treatment needs. The division has been unable to add additional Councils due to previous budget cuts.

General Operating Expense

15,000

Due to budget cuts in FY 2011, FY 2012, FY 2013 and FY 2014 additional funding is needed to restore operational expenses for existing services and expansion.

Total – Community Sentencing

\$1,015,000

C. Justification

These funds are necessary to maintain a sustained level of performance.

FY 2016 Budget Request Priority G

A. Item Description

Infrastructure and Equipment Improvements

B. Cost

Community Corrections and Field Operations

Equipment/ Infrastructure Replacement

Kitchen appliance / equipment replacement Examples: Griddles, convection ovens, tilt skillets, floor mixers, walk-in coolers/freezers, refrigerators, steam kettles, food warmers/holding cabinets, ice machines, proofing cabinets	\$1,997,975
Laundry equipment replacement Examples: Commercial washers/dryers	500,350
HVAC system / unit replacement Includes systems/units at 13 facilities	833,650
Water and wastewater systems Examples: Lagoon aerators, irrigation cannon, sewer grinder, aerator motors, lift stations	483,563
Boilers Examples: Boilers, hot water heaters at 7 facilities	1,306,084
Generators Examples: Unit generators at 4 facilities	353,153
Electrical system replacements/repairs Includes systems at 2 facilities	388,750
Other repairs and equipment Examples: Central Transportation Unit tires, replacement windows, replacement wheelchair lift, replace worn mental doors, repair perimeter road	211,650
Roof repairs Includes roofs at 6 facilities	<u>2,280,525</u>

Total – Infrastructure and Equipment Improvements **\$8,355,700**

C. Justification

Maintaining facilities and minor capital improvements are necessary to sustain operational effectiveness and efficiency.

FY 2014 Budget Request Priority H

A. Item Description

Doors, Locks and Other Security Equipment

B. Cost

Field Operations and Community Corrections

Replace locks and doors/frames \$3,000,000

Security cameras and surveillance equipment 1,700,000

Key watch systems 136,600

Other security equipment 97,600

Examples: Replace radio base station, body orifice security scanner (BOSS) chairs, walk-thru metal detectors

Total – Security Needs

\$4,934,200

C. Justification

Funds for security are necessary to maintain a safe operating environment.

FY 2014 Budget Request Priority I

A. Item Description

Replace High-Mileage Vehicles and MCI Bus

B. Cost

DOC Vehicles

One additional MCI bus	\$350,000
Other Vehicles	<u>1,000,000</u>

Type	Count	Miles Driven By Type	Vehilces >100,000	Vehilces>150,000	Vehicles >200,000
Sedans	366	3,555,347	136	53	6
Vans	343	4,372,452	189	116	116
Pickups	197	1,099,737	112	65	30
Utility Trucks	39	376,186	16	5	2
Others	83	687,920	49	27	17
Buses	26	249,473	18	12	9
Total	1,054	10,341,115	520	278	180

Total – Vehicle Needs

\$1,350,000

C. Justification

Due to DOC's aged fleet and driving conditions for daily transports, new vehicles are needed to replace high-mileage vehicles.

FY 2014 Budget Request Priority J

A. Item Description

Technology Upgrades and Infrastructure

B. Cost

Agency- Wide

Replace Existing Offender Management System (OMS)

\$15,000,000

DOC and a recent audit conducted by the Office of State Auditor and Inspector has determined that the current offender information management system capabilities do not meet critical business needs and the time has come to procure and implement a new system. Given the complexity of such an initiative and the considerable investment of public funds required, it is imperative that the procurement process be carried out with minimum risk and provides maximum value to the state.

Computer Replacement / Upgrade of Agency Fleet Computers

716,190

DOC has 9 Latitude D620 computers purchased in 2006; 25 Latitude D630 computers purchased in 2007; 515 Optiplex 745 computers purchased in 2006 and 443 Optiplex 755 computers purchased in 2008. These computers need to be replaced because they barely support the recent operating system and new applications being introduced. This causes the computers to be very slow and ineffective for users. Total urgent need: 958 personal computers and 34 laptops.

Software Licenses Required for Upgraded Computers

147,808

992 personal computers that will need licenses at \$149 each.

Voice Over IP (VOIP) Phone Service

500,000

VOIP phones throughout the administrative campus would provide better phone services, more functions, and a return on investment in about two years. The maintenance cost of such a system would be between \$40,000 and \$60,000 a year which would provide DOC with approximately \$100,000 in savings per year after the initial cost is paid.

Field Operations

Eddie Warrior Correctional Center

Replace old fiber wiring as telephones go down when it rains.

48,000

Jim Hamilton Correctional Center

Telephone System Upgrade

Existing system is old, outdated, and doesn't operate properly throughout the facility system.

550,000

Information Technology

Cost of Conversion to New Data Center

200,000

Total – Technology Upgrades and Infrastructure

\$17,161,998

C. Justification

Funding is imperative for the antiquated OMS system.

Funding of technology infrastructure and upgrades are necessary to keep technical systems sustained and operational.